

***Mid-Infrared Technologies for Health and the Environment***

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# ***MIRTHE***

*NSF-ERC – Mid-InfraRed Technologies for  
Health and the Environment*

*Director*  
***Claire Gmachl***  
*Princeton University*

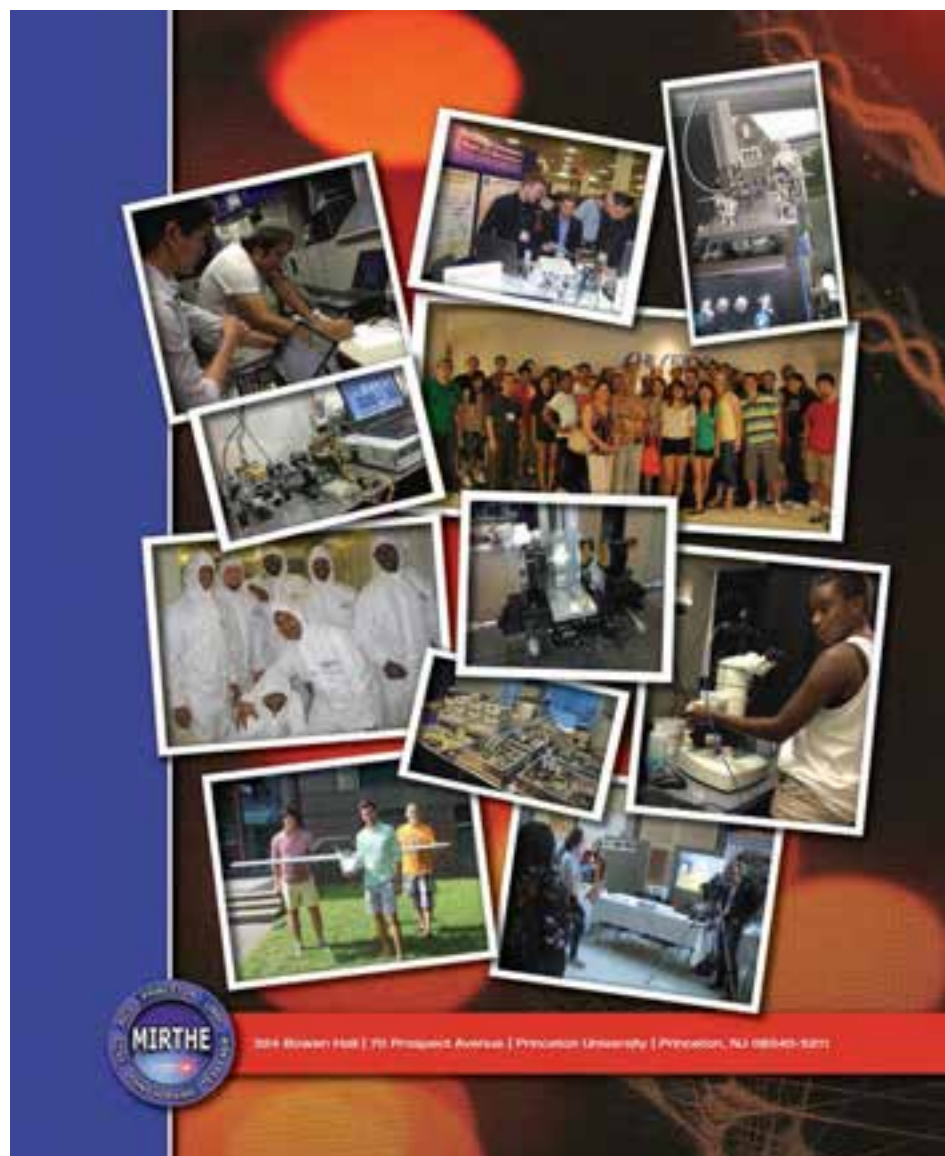
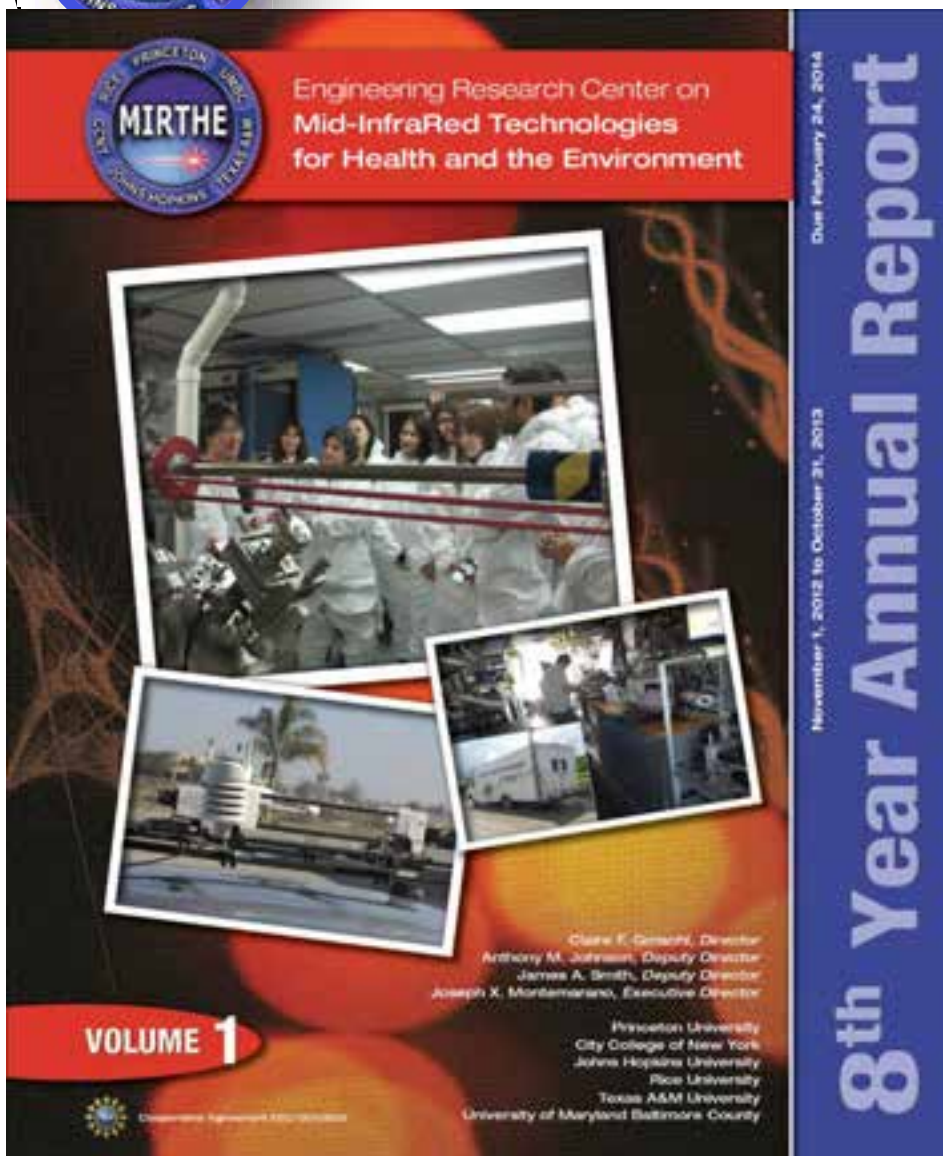


*NSF-ERC Cooperative Agreement EEC-0540832*





## Recent report cover ...



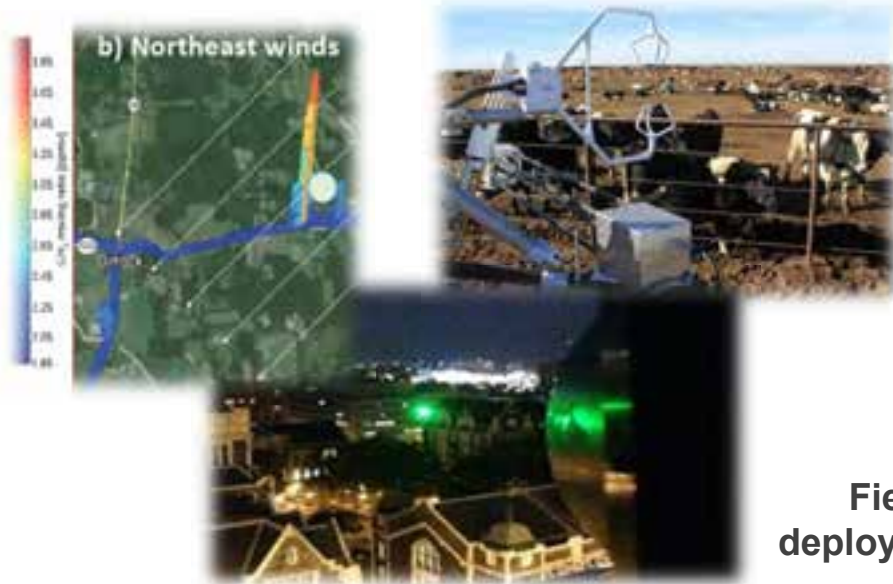




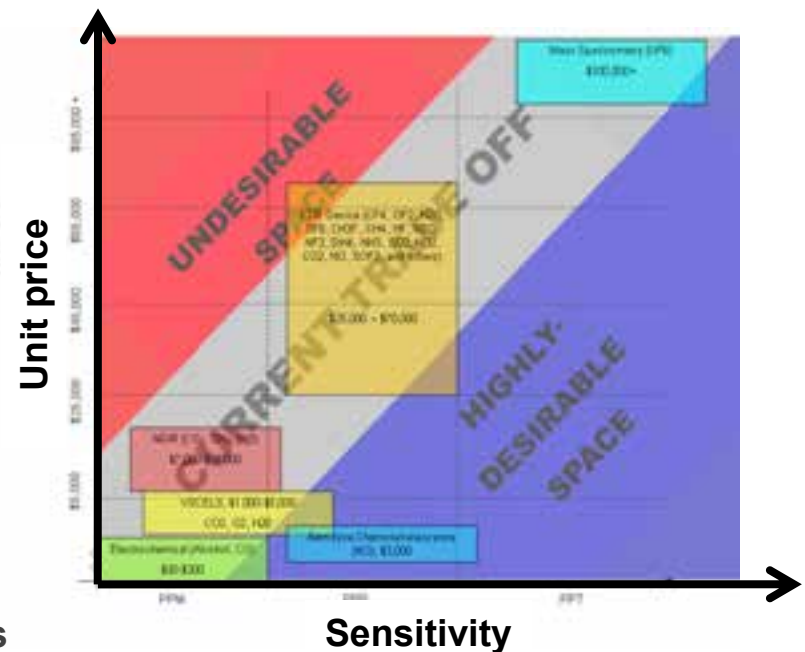
# Technology vision

Development of a QC-laser-based platform of mid-IR trace gas sensor systems

- **Continued growth and expansion of the QC/mid-IR-laser-based platform of mid-IR trace gas sensor systems**
  - Unprecedented high-performance and cost-effective
  - Compactness, autonomy, networking capability, fast response
  - Individual, urban sensor network, global scale
- **MIRTHE addresses the important societal challenges**
  - Securing a clean, safe, sustainable, and healthy environment
  - Accessible healthcare



Field deployments





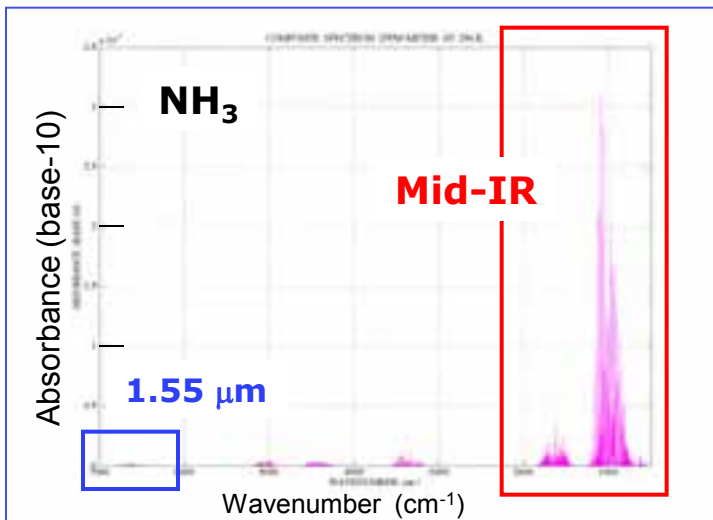
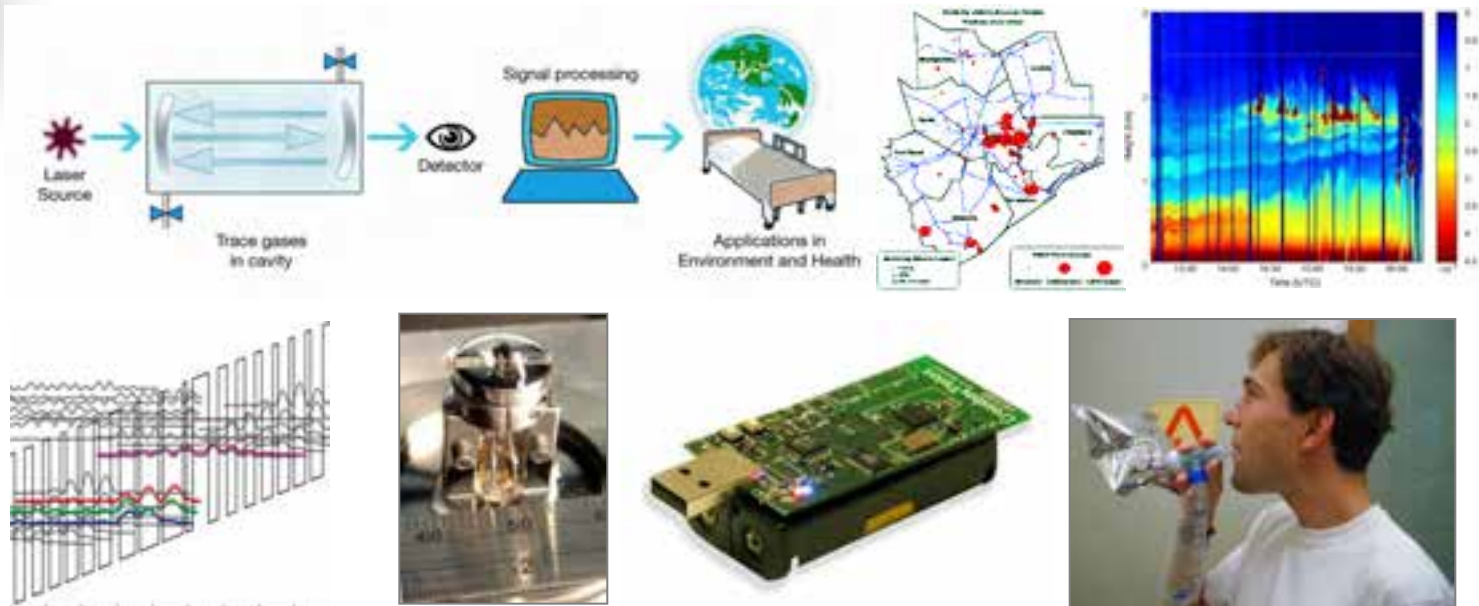
# ***System level goals & barriers addressed***

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- Individual point sensors
  - Indoor and local outdoor air quality
  - Industrial or automotive monitoring
  - Sensitive (ppb – ppm), compact, easy to use, and low-cost
- Individual point sensors with fast time response
  - Real-time breath monitoring
  - Mobile sensors (automobile, UAVs)
  - Threshold sensing of highly toxic gases
  - Fast, ~ 100ms, time response
- Sensor networks
  - Urban scale pollution sensing & forecasting
  - Network of autonomous sensor nodes with spatial and temporal resolution
  - Include wireless communication module, very low-cost and reliable
- Remote, open path and LIDAR sensors
  - Sensing beyond the metropolitan and towards the global scale
  - National air quality networks and pollution forecasting models
  - Power, beam quality, sensitivity, and low-cost



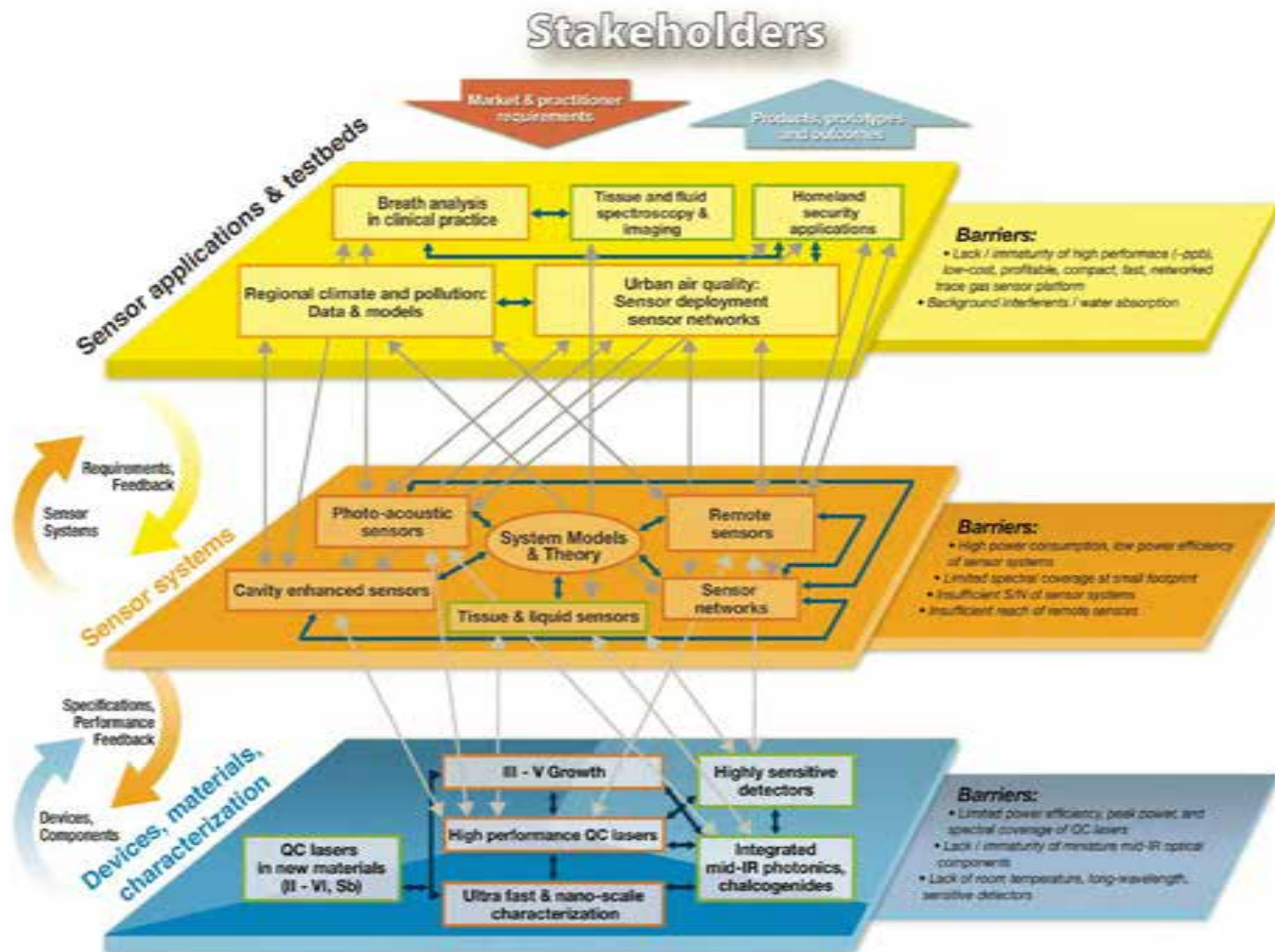
# Scientific underpinning of MIRTHE research



- Molecules uniquely identified
- Sub-ppb sensitivity
- Non-destructive, non-invasive, fast dynamic response.
- Telecom infrastructure / Moore-curve development



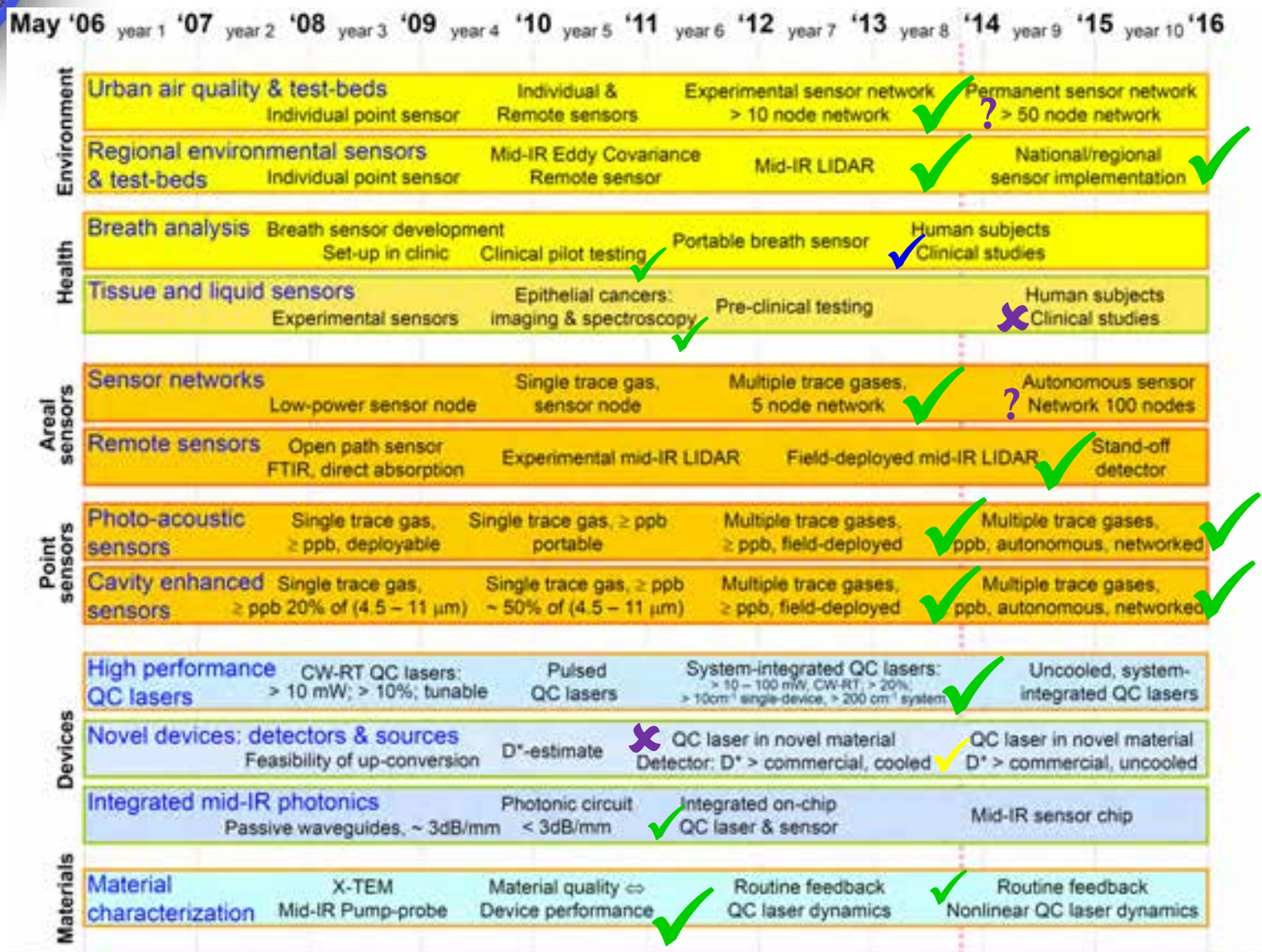
# Strategic plan: 3-level framework







# Timeline chart







# ***Industry & Practitioner Program***

*NSF-ERC Cooperative Agreement EEC-0540832*





# ***Industrial collaboration & tech transfer: Goals & Strategy***

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- **Forge close collaborative connections with industry:**
  - Chemical, medical equipment, regulatory ...
  - Sensors industry, test & measurement, ...
  - Semiconductor industry, devices and subsystems
- **Provide center-wide university-industry formal program:**
  - Promote partnerships between industrial partners
  - Create technology roadmap for mid-IR sensor systems
  - Create valuable R&D and intellectual property
  - Facilitate effective technology transfer
- **Promote ties between industry and students & post-docs:**
  - Promote technology transfer & job placement
  - Internships, researcher exchange, interactions at meetings

# MIRTHE industry members & practitioners

Total: 49

## Partners:

CORNING

DAYLIGHT  
SOLUTIONS®

## General Members:

ELI  
Epitaxial Laboratory

HAMAMATSU

InfraSign

InfraTec

LASERTEL

LI-COR

MAXION  
TECHNOLOGIES, INC.

PICARRO

TAC

Tektronix

Tigeroptics

TRUMPF

## Affiliates:

Boston Electronics

INTELLIGENT  
MATERIAL

IRflex

LaserMax

Laseram Inc.

OKSI OPTO-KNOWLEDGE SYSTEMS INC.

ISI

PHYSICAL SCIENCES INC.

REDSHIFT

RF  
TELEMATICS

SCINOVATION

sentinel  
photonics

SOBERLINK  
MONITORING PROMOTES ACCOUNTABILITY

WAVELENGTH  
ELECTRONICS

## Media Affiliates:

LaserFocusWorld

PHOTONICS MEDIA

Spectroscopy

## Non-profit/Gov:

Pacific Northwest  
NATIONAL LABORATORY

Science & Technology Facilities Council  
Rutherford Appleton Laboratory

NIST

ES&C

Sandia  
National Laboratories

Space Dynamics  
LABORATORY  
Utah State University Research Foundation

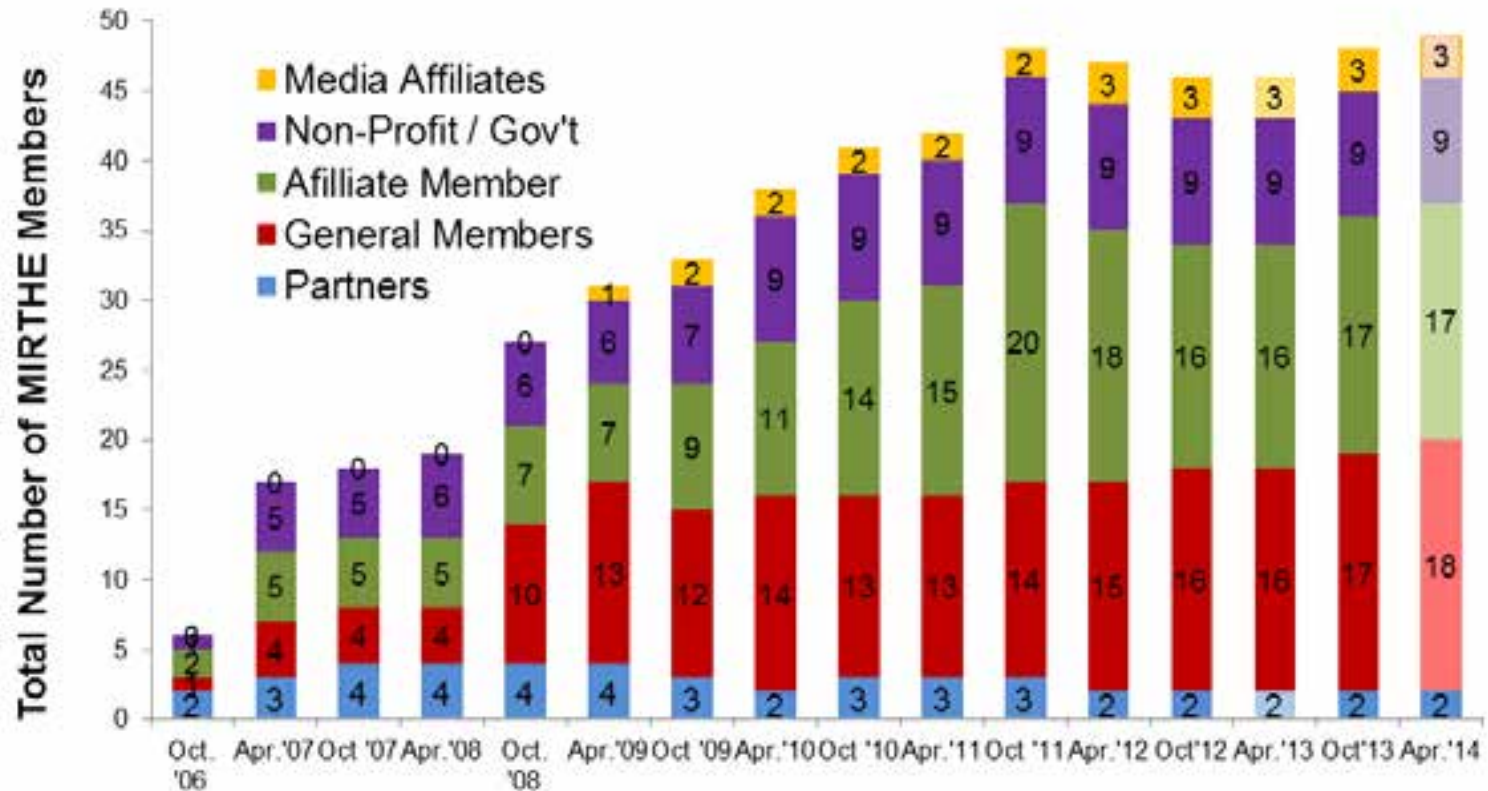




# Membership trends and financial support

Members Growth across all categories

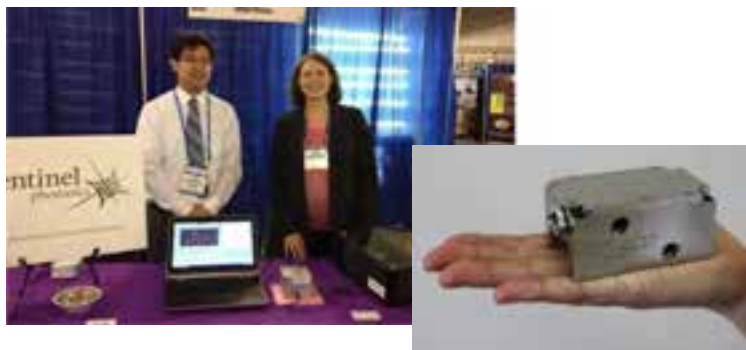
Total # 49





# MIRTHE start-ups

Name of Firm	Date Established	Name of Principle & Relationship to ERC	Technology
PRIMIS Technologies	Fall 2007 Dormant 2010	Kale Franz , Anthony Hoffman, Scott Howard (former graduate students)	Development of QCLs
Sentinel Photonics	April 2010	Stephen So (former post doctoral fellow, currently industry member, CEO)	Development of High-efficiency laser based gas sensors
Scinovation	March 2011	Yan Zhang (former graduate student, currently industry member, CEO)	Development of QCLs based sensor systems
Not known yet	mid - 2014	Yin Wang (a former graduate student; currently a CEO)	Development of QCLs based biomedical sensor systems



*Sentinel Photonics showcases their new product at CLEO 2013*



*Yan Zhan, CEO of Scinovation with Rafal Lewicki, MIRTHE former post-doc showcase jointly developed sensor at MIRTHE booth at CLEO 2012*

- 2012 recognized as the NJ Business Growth Success Awardee of the year
- 2013 launched new product that made sales to major instrumentation companies
- 2013 NSF ERC-SECO award with MIRTHE
- 2010-2013 grew from 2 to 5 employees (hired a former MIRTHE postdoc, and hosted one MIRTHE REU student)

- 2012-2013 collaboration with MIRTHE on development of QCLs based sensor system
- 2012 showcased of a prototype at international show CLEO
- 2012-2013 test-bed with MIRTHE: measurements of air quality in Beijing
- 2013 project with MIRTHE funded by the National Geography and the Alibaba Group.



# Engagement of Investment Community

## MIRTHE Investment Focus Group

is

a group of venture capitalists and angel investors seeking opportunities emerging from MIRTHE technologies

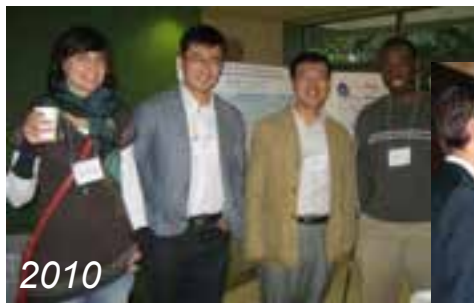
### Mission:

**Introduction** of venture capital to mid-IR technologies and applications opportunities

**Exposure** to MIRTHE small and large company members

**Opportunities** to nurture MIRTHE related start-ups

- Formed in early 2010; Co-chaired by Dr. Mort Collins and Dr. Ralph Taylor-Smith of Battelle Venture
- 21 board members have been recruited
- 4 workshops has been held: Oct. 2010 on all MIRTHE applications; Oct. 2011 on medical applications, April 2012 and May 2013 on defense and security; next workshop May 7, 2014 at DSS



2010



2011



2012



2013

IFG workshop @ Princeton University

IFG workshop @ the Defense, Security & Sensing conference, Baltimore, MD





# ***Education & Education Outreach Program***

*NSF-ERC Cooperative Agreement EEC-0540832*





# Vision & Goals

- MIRTHE's graduates recognize, understand, and address societal challenges
- MIRTHE's graduates are knowledgeable and trained in problem solving
- MIRTHE's graduates join a globally competitive and diverse U.S. workforce

- Engineering excellence
- Interdisciplinarity and cross-institutionality
- Diversity
- Leadership





# Strategic plan: University-level programs

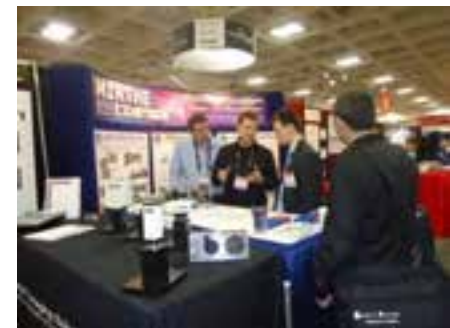
- **Research**
  - Graduate research and training
  - REU & year-round undergraduate research
  - MIRTHE post-doctoral fellowships
- **Mobility and mentoring**
  - Exchange: MIRTHE, industry, international
  - MIRTHE All Hands Summer Workshop
  - Mentoring and recruiting of women and minorities
  - Student leadership activities
- **Formal teaching**
  - Cross-disciplinary team-taught MIRTHE course
  - MIRTHE's effect on course offerings and formal teaching
  - Hiring of MIRTHE junior faculty
  - MIRTHE library
- **Public relations (exposure) and leadership**
  - MIRTHE @ CLEO/QELS
  - MIRTHE @ IQCLSW/ITQW, other conferences
  - Leadership and mentoring in K- 12 outreach
  - Entrepreneurial training and interactions with industry



Grad student operating a sensor in field deployment in Beijing, China.



REU students in the lab



MIRTHE at Photonics West





# Strategic plan: Pre-college level

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- **Focus on individual students and programs**
  - High School student summer research internship
  - YSAP (Young Science Achievers Program)
  - Research work with non-science & non-engineering students
- **Maximum breadth outreach**
  - Science Fairs
  - Science Festivals & Expos
  - Open Houses & lab demos
- **Support of individual faculty activities**
  - Visits to schools
  - Open Houses & lab demos
  - General public relations
- **Teacher programs for K-12 schools**
  - RET program and translation of engineering research results into the classroom



YSAP Outreach



Faculty visits to schools



# Student-industry interactions & PR

- Internships and researcher exchange and hiring
- SLC retreat
- Presentations at MIRTHE related conferences
- Interactions at meetings and conferences
  - CLEO, IQCLSW / ITQW, Photonics West, ...
- Joint publications
- Research productivity



Students and post docs meet at Photonics West

	Total Number	+ Graduate students & Post-docs	* Under-graduate students	# Industry or government collaborator	(MM) Authors from multiple MIRTHE partners	(MED) Authors from multiple engineering disciplines	(EF) Authors from engineering & non-engineering fields
Journal Publications	120	82	13	22	16	44	14
Conference Proceedings	43	35	2	3	1	16	8
Conference Presentations	118	72	17	21	15	29	7
In Trade Journals	6	1	1	0	0	0	0
Other	10	1	0	1	0	0	0
<b>Total</b>	<b>297</b>	<b>191</b>	<b>33</b>	<b>47</b>	<b>32</b>	<b>89</b>	<b>29</b>



Grad students at the CLEO network dinner.



# ***Innovation and business plan competitions***

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## **4<sup>th</sup> Annual Innovation Forum, Keller Center (2009)**

Stephen So (post-doc) won 2<sup>nd</sup> place, and next year launched Sentinel Photonics



## **NSF-ERC Elevator Pitch Contest (2011)**

Tracy Tsai (grad. student) won 2<sup>nd</sup> prize



## **7<sup>th</sup> Annual Innovation Forum, Keller Center (2012)**

Michal Nikodem (post-doc) won 2<sup>nd</sup> place



## **Optoelectronics Start-up Challenge at Photonics West 2012**

Yin Wang (grad. student) won 2<sup>nd</sup> prize



## **8<sup>th</sup> Annual Innovation Forum, Keller Center (2013)**

Lei Tao (post-doc.) won a 1<sup>st</sup> prize

Arvind Ravikumar (grad. student) won a 3<sup>rd</sup> prize





# ***Research Highlights***

*NSF-ERC Cooperative Agreement EEC-0540832*





# A Multi-Sensor Field Deployment for Assessing Anthropogenic Influences on Carbon, Nitrogen and Water Cycling

## Two field deployments:

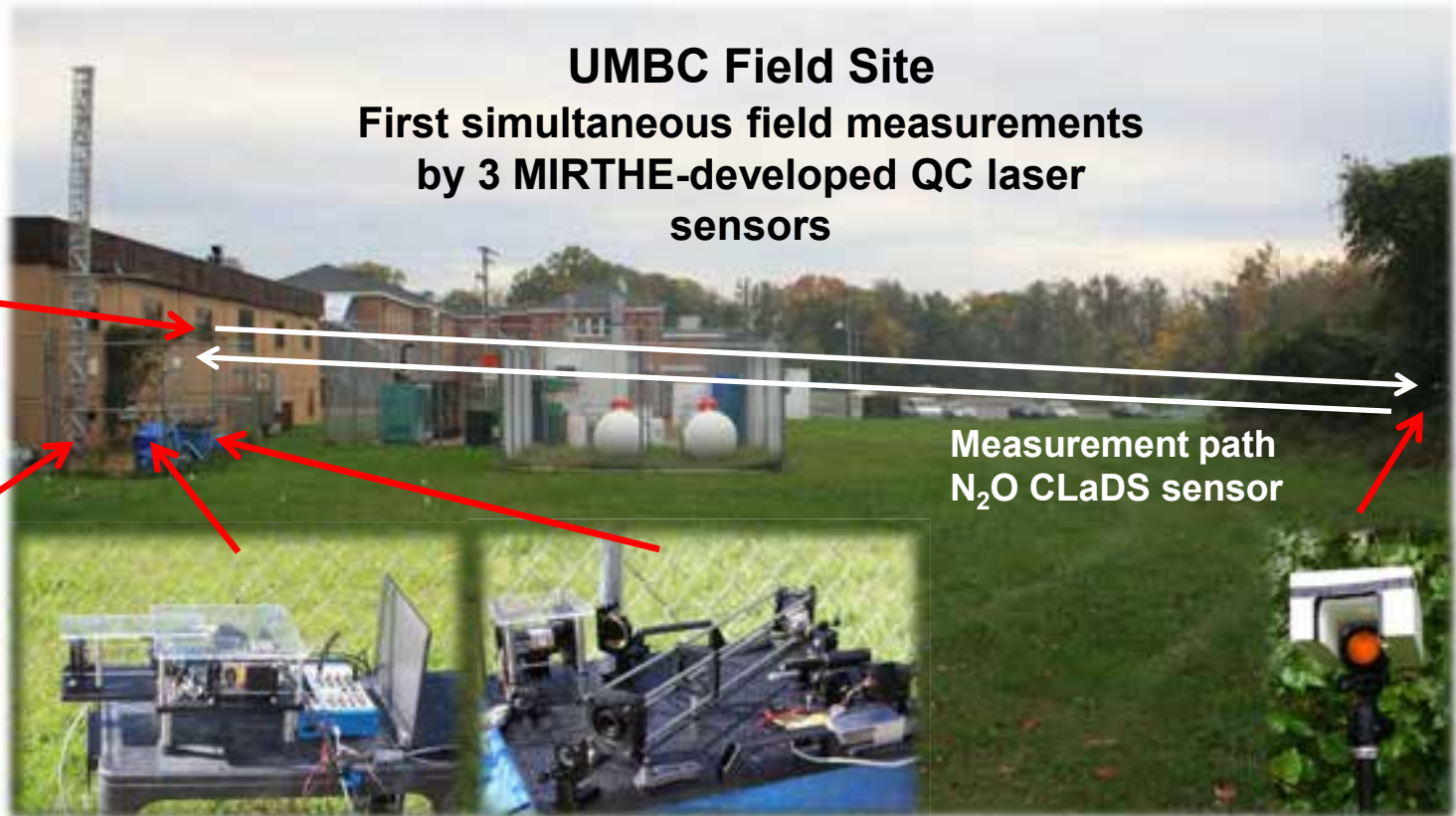
Part I: October 24-November 2, 2011; part II: April 13-25, 2012

SLIP – project: David Miller et al.

$N_2O$



$CO_2/CH_4$



UMBC Field Site

First simultaneous field measurements  
by 3 MIRTHE-developed QC laser  
sensors

Measurement path  
 $N_2O$  CLaDS sensor

$N_2O/CO$

$NH_3$

Retro-  
reflector

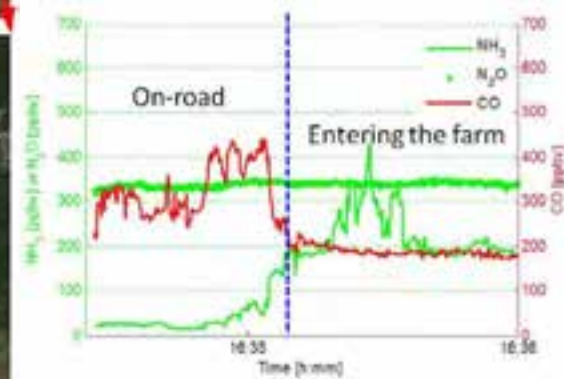
Collaborations:

Prof. Claire Welty, Chemical, Biochemical, and Environmental Engineering, UMBC and Baltimore Ecosystem Study



# Portability: 2012 Field Campaigns

Mobile measurement of local  $\text{NH}_3$  concentration



Simultaneous  $\text{NH}_3/\text{N}_2\text{O}/\text{CO}$  measurements near Princeton area. CO is a tracer for combustion.





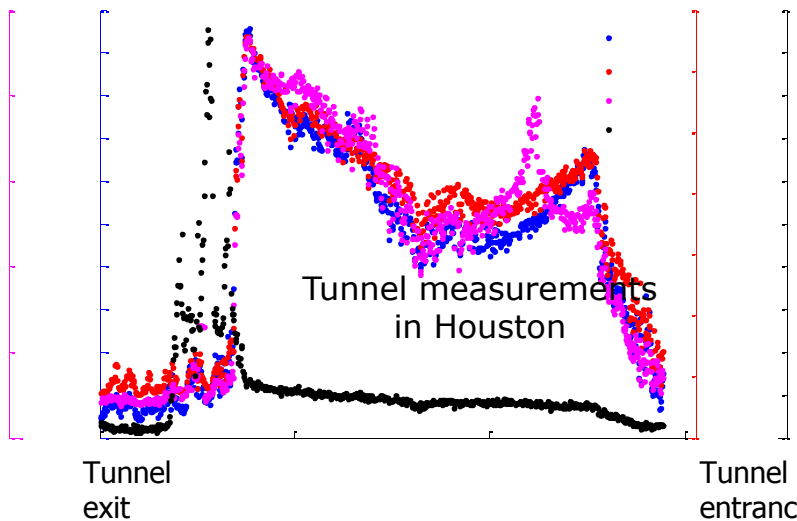
# SLIP – Multi-sensor Field Deployments

**Mark Zondlo & group, Princeton University**  
**Industry collaborators**

62 hours, 2500 km mobile  
measurements



Tunnel measurements  
in Houston

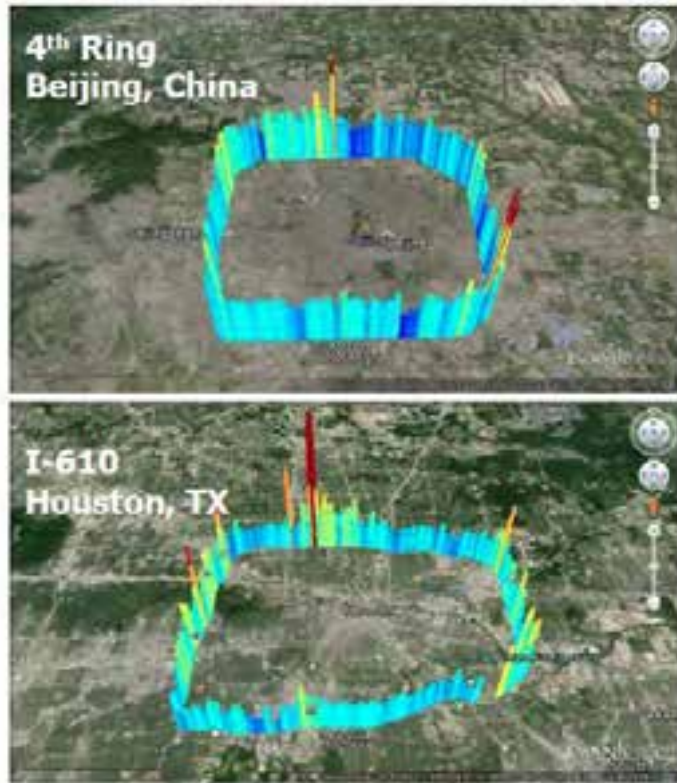


Mobile platform  
measurements in  
Houston testbed

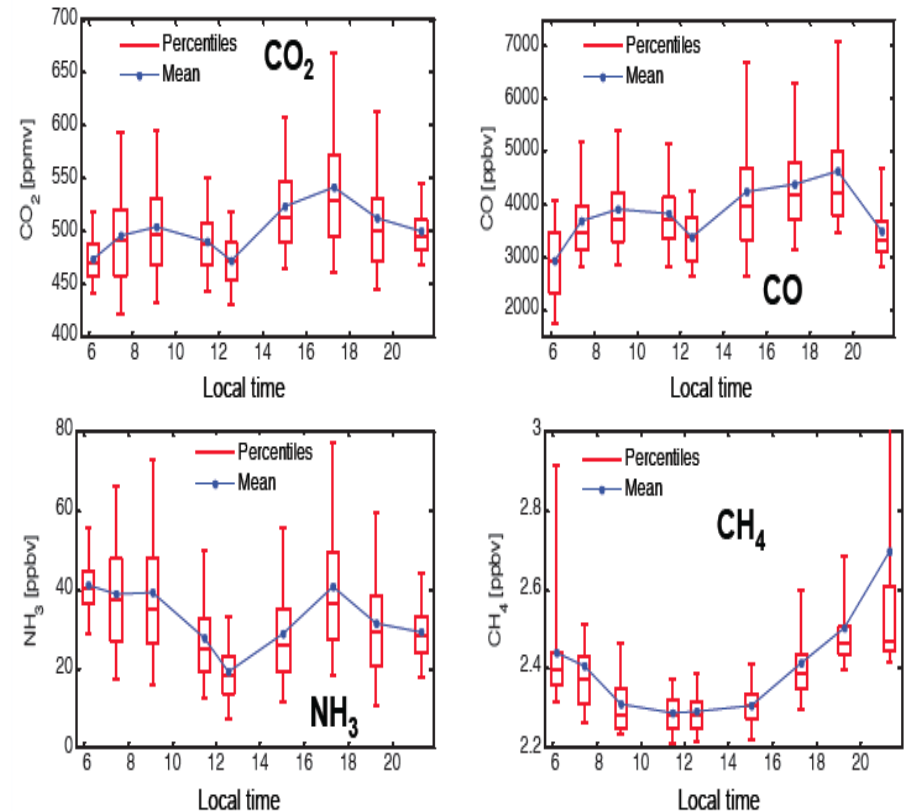


# Urban Emissions of Greenhouse Gases and Air Pollutants

Mark Zondlo & group, Princeton University  
CARE Beijing collaborators



Ammonia distributions along freeways in Beijing (top) and Houston (bottom).

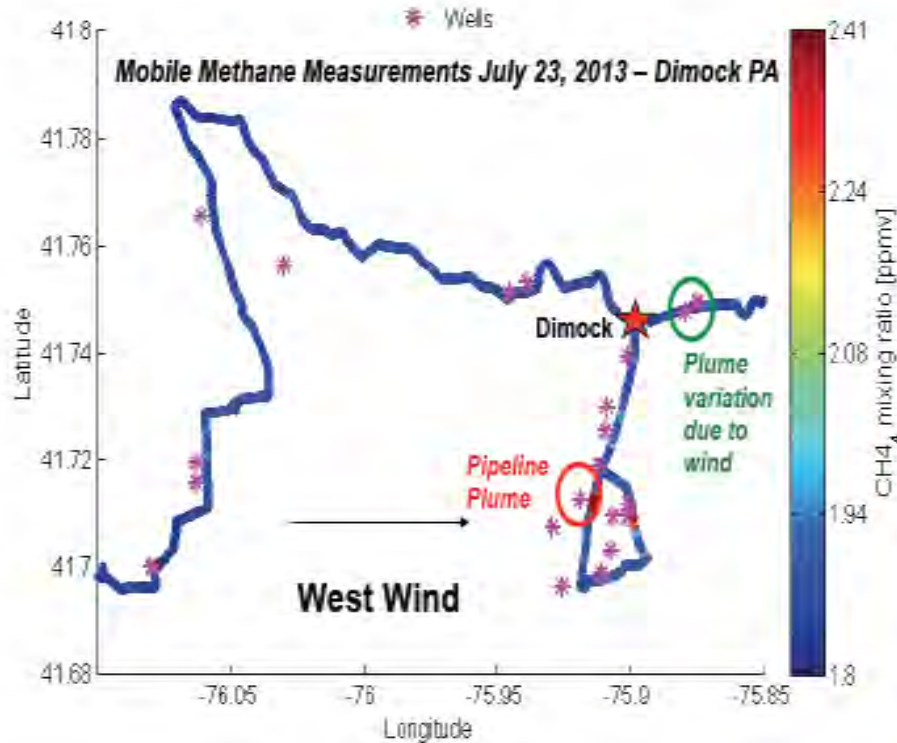


Diurnal variations of trace gases in Beijing.



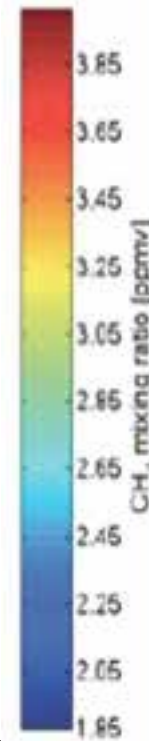
# Fugitive Methane Sensing

Mark Zondlo & group, Princeton University



Sampling of fracking pads in the Marcellus Shale by drive-by surveys.

[?]



Measurements of  $\text{CH}_4$  near two fracking pads.



# Understanding the Carbon Cycle

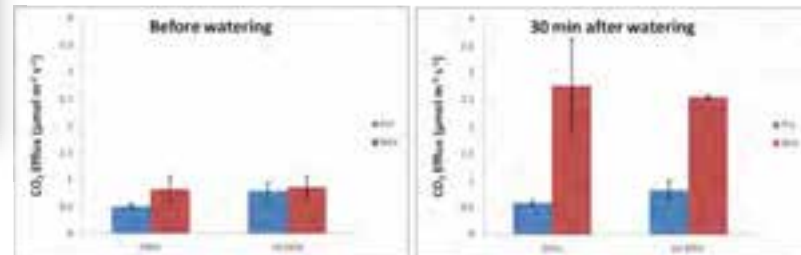
Kathy Szlavecz & group, Johns Hopkins University

Climate models predict prolonged dry periods and high-intensity rain events throughout the US in the 21<sup>st</sup> century

Soil C response is studied in rainfall manipulation experiments

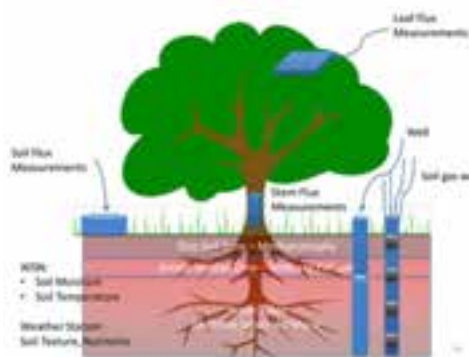


CO<sub>2</sub> Efflux following drought and intense wetting



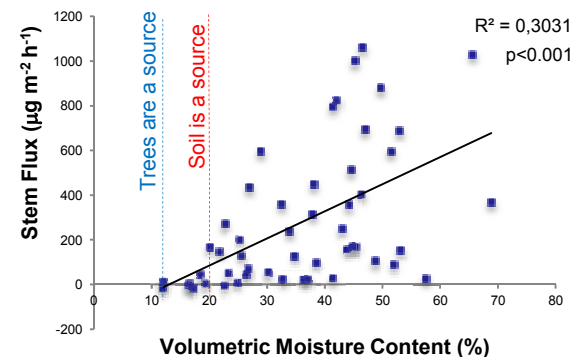
The 3<sup>rd</sup> generation WSN is monitoring abiotic conditions

When will a forest ecosystem switch from sink to source?



CH<sub>4</sub> flux is measured from soil and tree trunks

Trees emit CH<sub>4</sub> even at low soil moisture and soil acts as sink





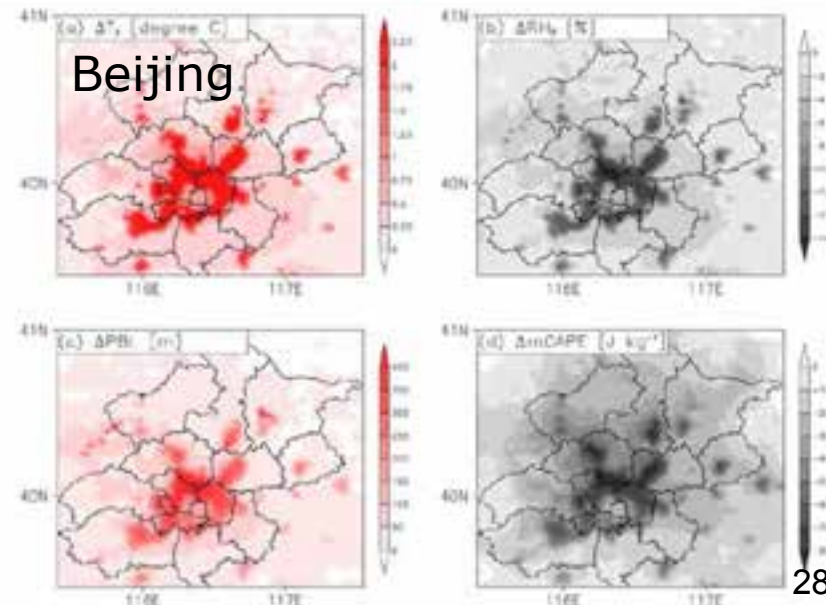
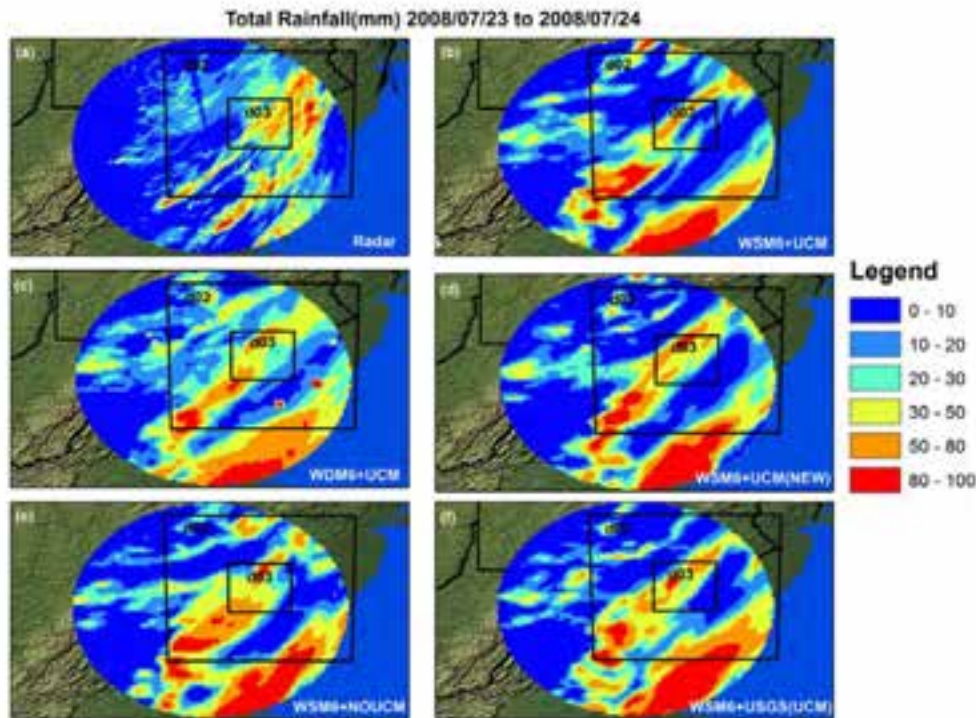
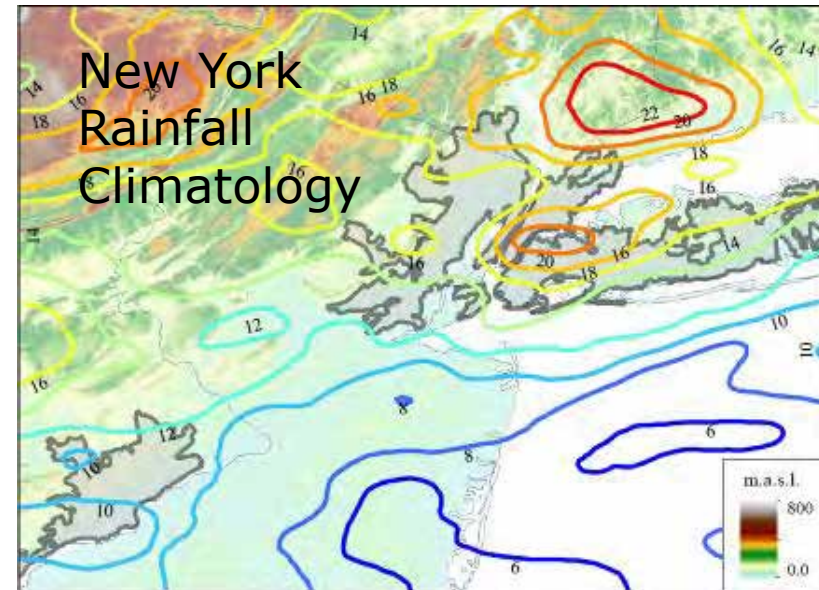


# Urban Climate Modeling

**Jim Smith & group,  
Princeton University**

Urban Modeling of regional climate: New York, Baltimore and Beijing testbeds.

Baltimore: Urban Canopy Model

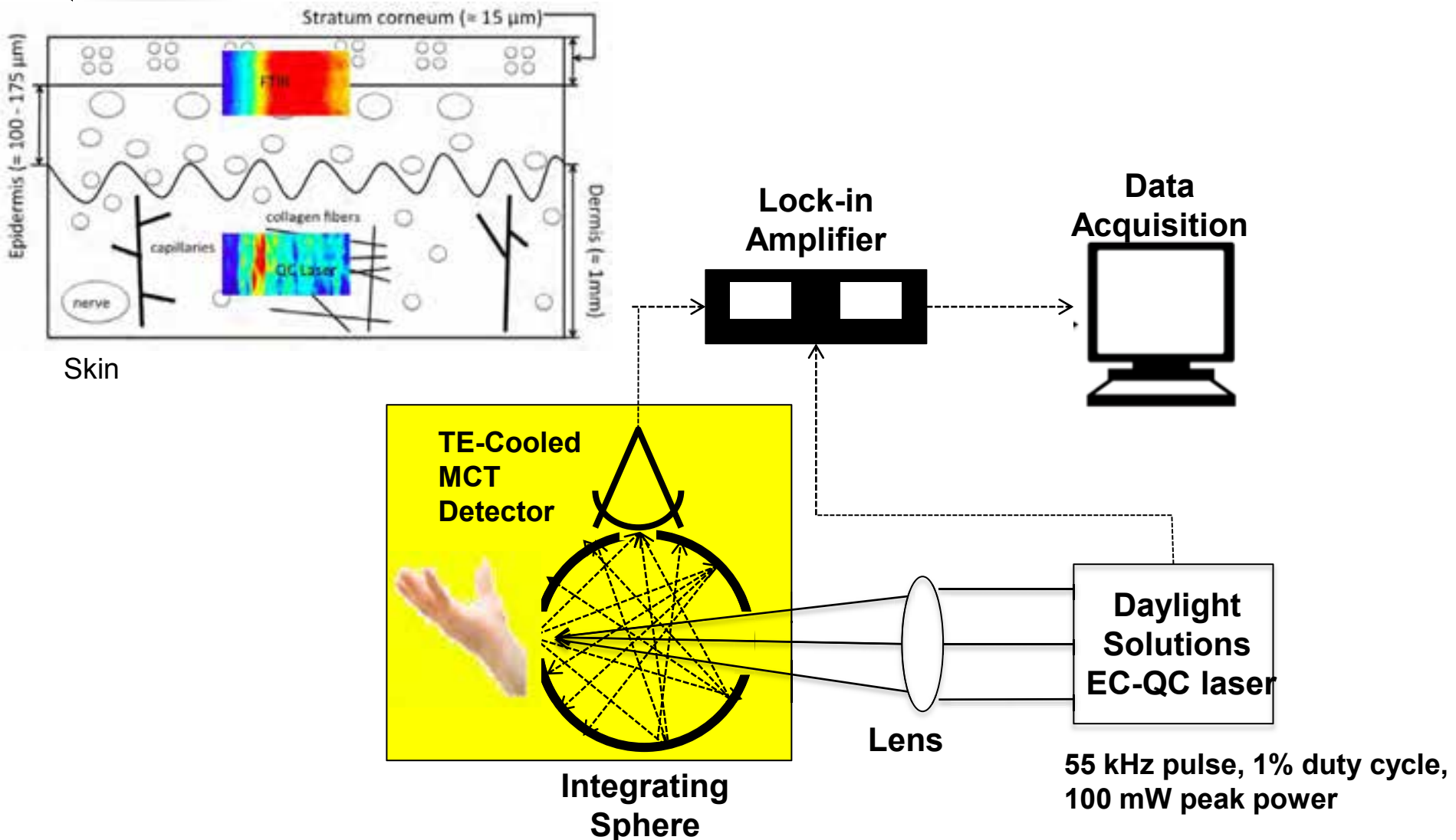






# ***Noninvasive, in-vivo Glucose Detection***

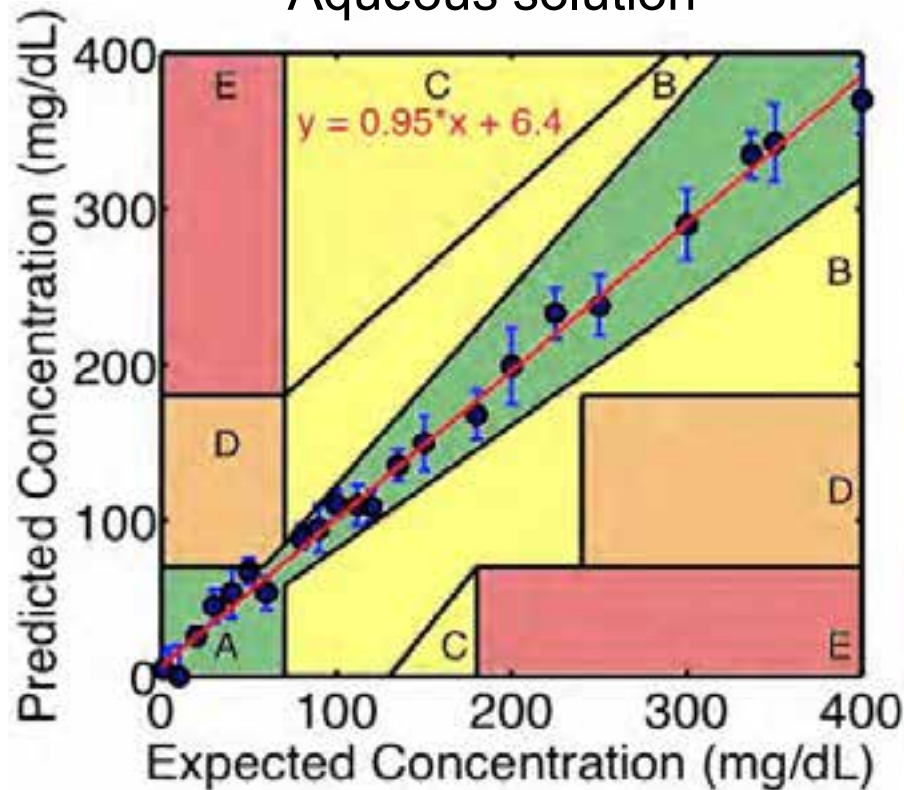
**Sabbir Liakat et al, Princeton University**



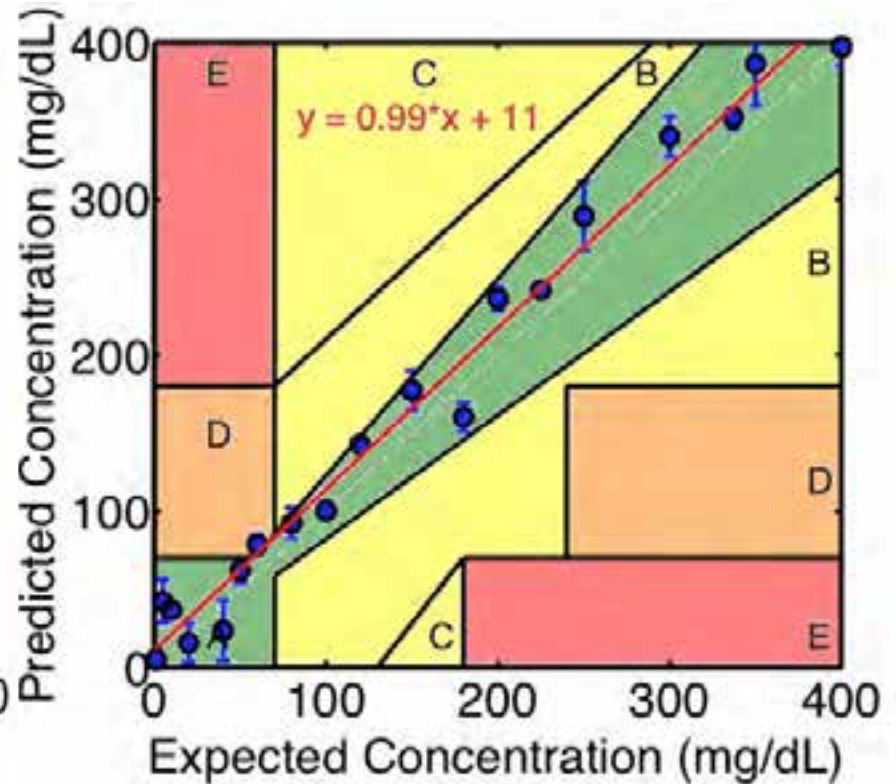


# *In Vitro Glucose Detection*

Aqueous solution



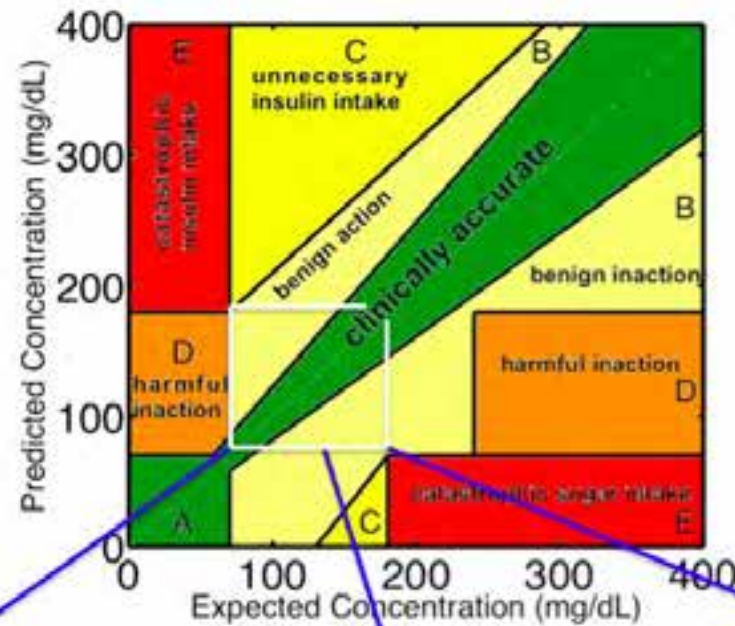
Serum solution



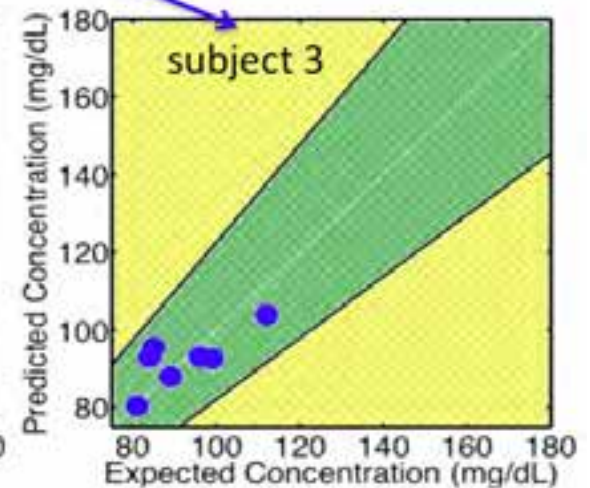
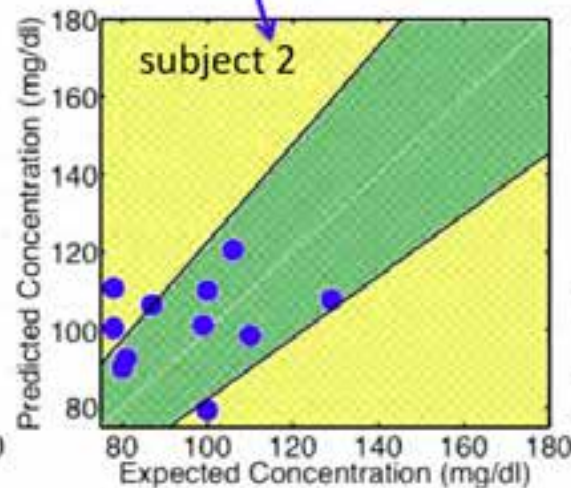
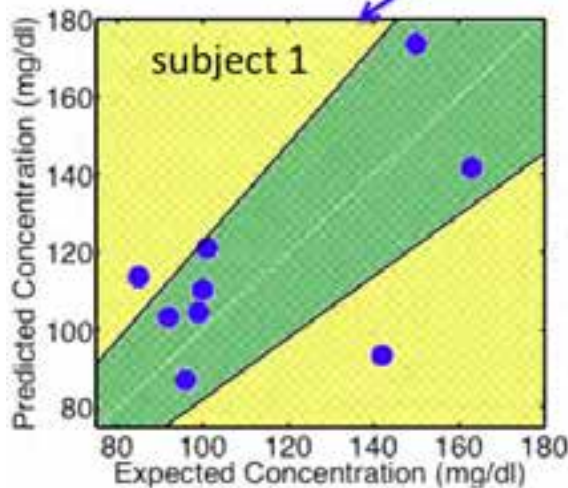
# Quantification of Glucose Prediction Accuracy: Clarke Grid



PLSR predictions on three healthy human subjects



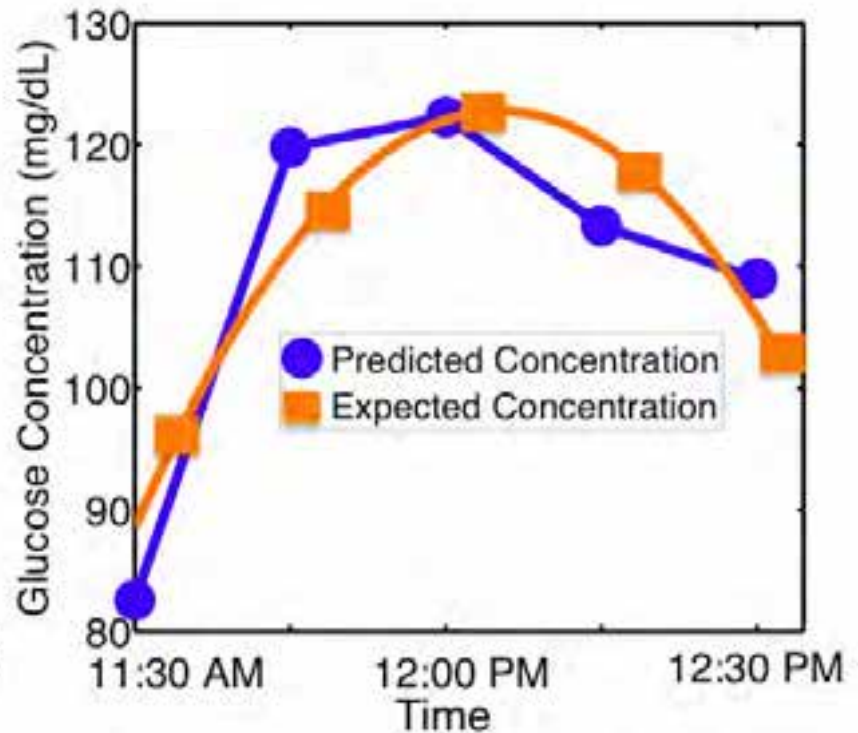
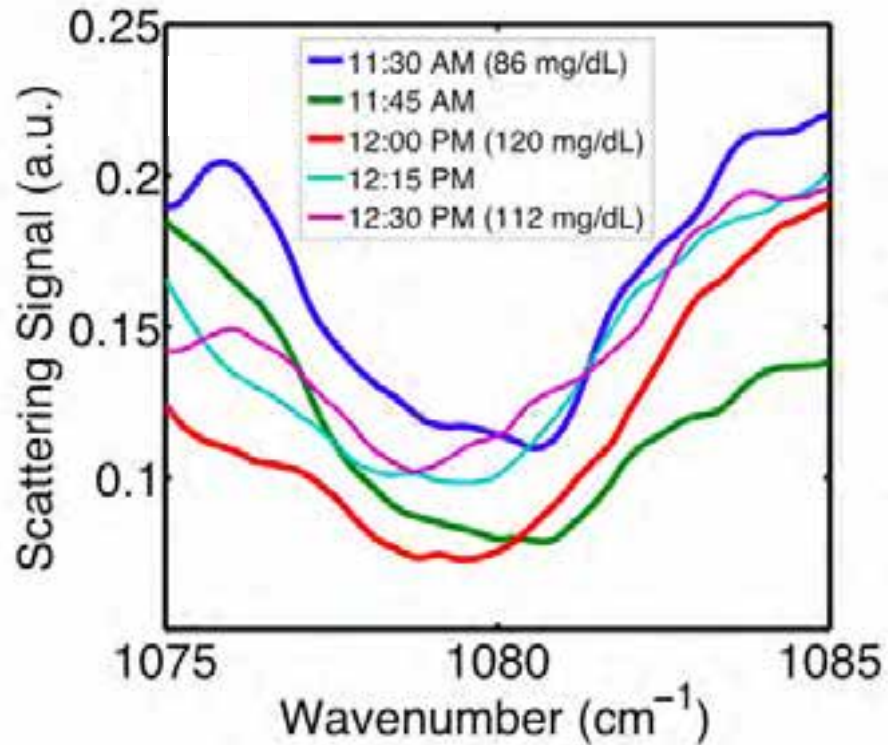
Calibration uses representative spectra of four different concentrations







# ***Real-Time Spectral Changes vs. Glucose Concentration***



**Strong correlation of predicted glucose concentrations to measured concentrations over an hour in 15 minute intervals.**





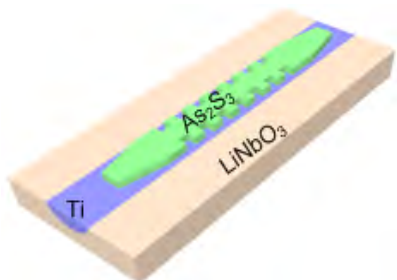
# Chalcogenide Waveguide Devices for Mid-IR Sensing

Christi Madsen & group, TAMU

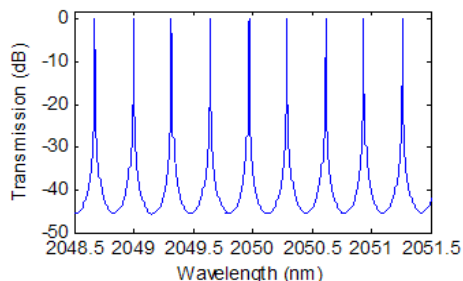
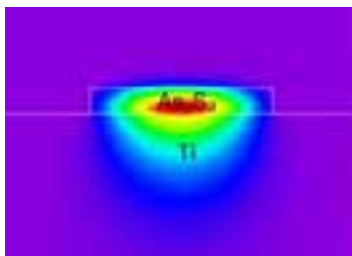
Four Wave Mixing for Improved Detection SNR

Novel grating- assisted devices for Mid-IR

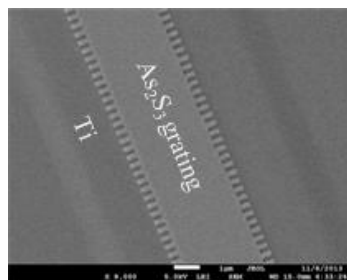
Integrated sidewall gratings with linear tapers for low-loss input/output coupling.



Mode intensity profile of TE<sub>0</sub> mode at 2.05 μm, ~35% confinement



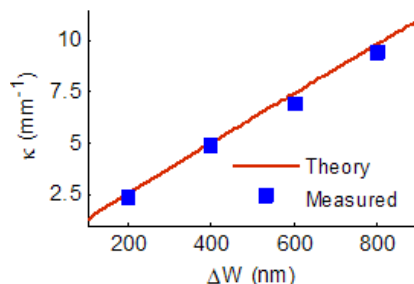
Transmittance of a 3 mm cavity.



Fabricated sidewall gratings on Ti:LiNbO<sub>3</sub>.

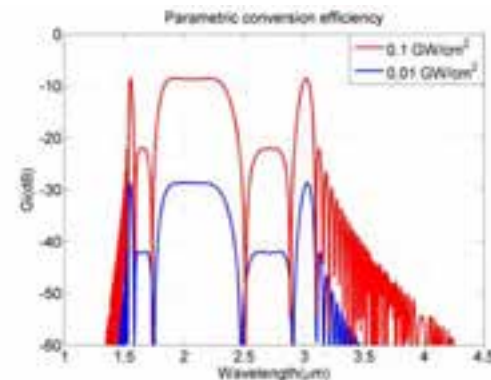
$$Q = \frac{\lambda}{\Delta\lambda_{3dB}} = 1.86 \times 10^6$$

$$FSR = \frac{\lambda^2}{2n_{eff}L_{cavity}} = 323.4 \text{ pm}$$

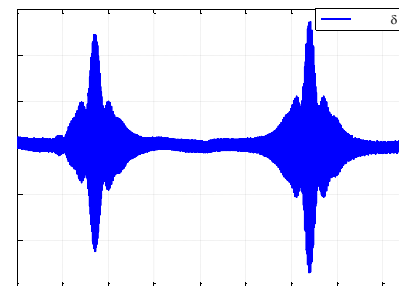


Measured and theoretical coupling coefficients vs. grating depth ΔW.

## Simulated Conversion



Waveguide dispersion measurement in mid-IR



Optical low coherence interferometer: amplitude and group delay response.



# Universal method for power enhancement in QCLs by spatially selective pumping

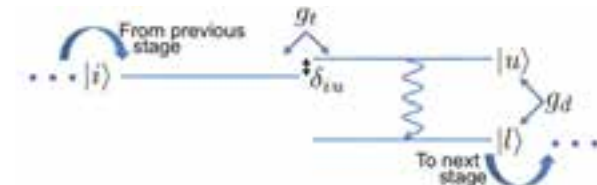
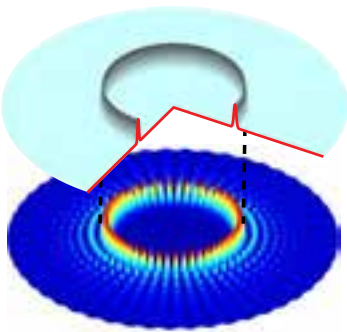
Hakan Tureci & group, Princeton

Steady-state Ab Initio Laser Theory (SALT)

Theory + Simulation

Selectively pump the optimal mode

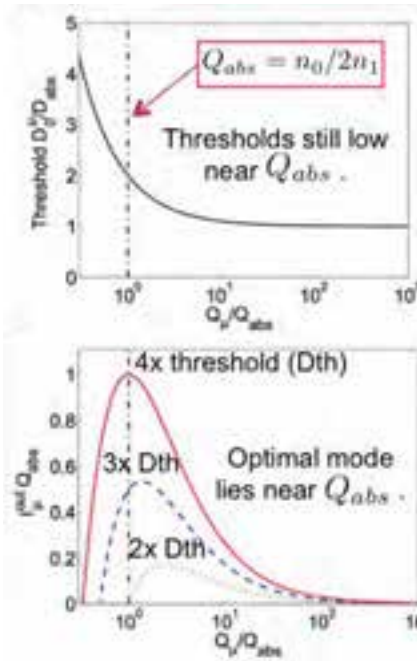
QCL gain medium modeling



$$H = H_0 + g_d E(t)(|u\rangle\langle l| + h.c.) + g_t(|i\rangle\langle u| + h.c.)$$

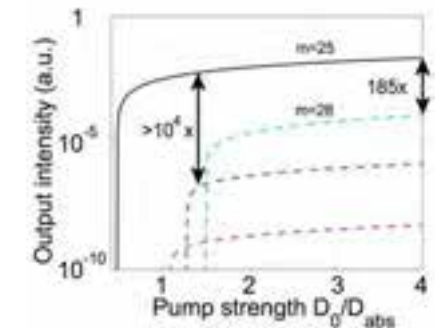
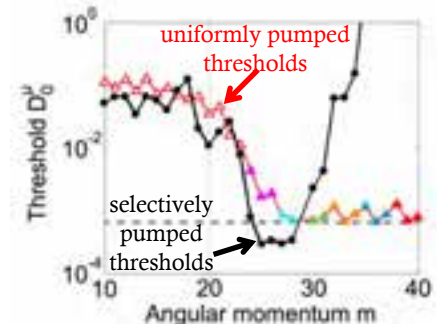
$$\dot{\rho} = -\frac{i}{\hbar}[H, \rho] + \mathcal{D}_r[\rho] + \mathcal{D}_d[\rho]$$

- QCL represented as cascade of 3-level systems
- model accounts for relaxation and dephasing decay
- Solved in steady-state to yield current and light output as functions of detuning between injector and upper levels



Threshold of selected mode reduced by ~5x

Emission from selected mode 185x higher

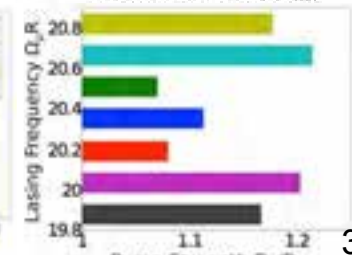
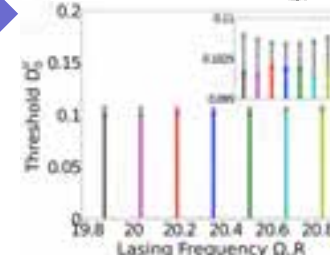
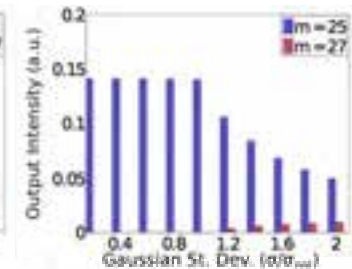
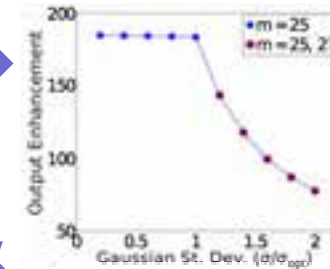


Lateral Current Spreading

Enhancement of ~80x for current spreading to twice the optimum contact width

Mode Selection in 1D Cavity

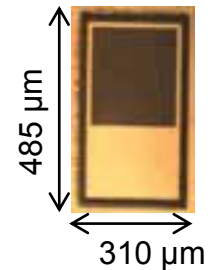
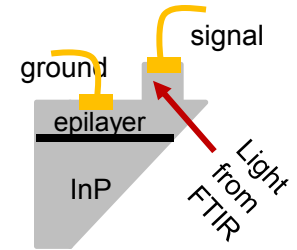
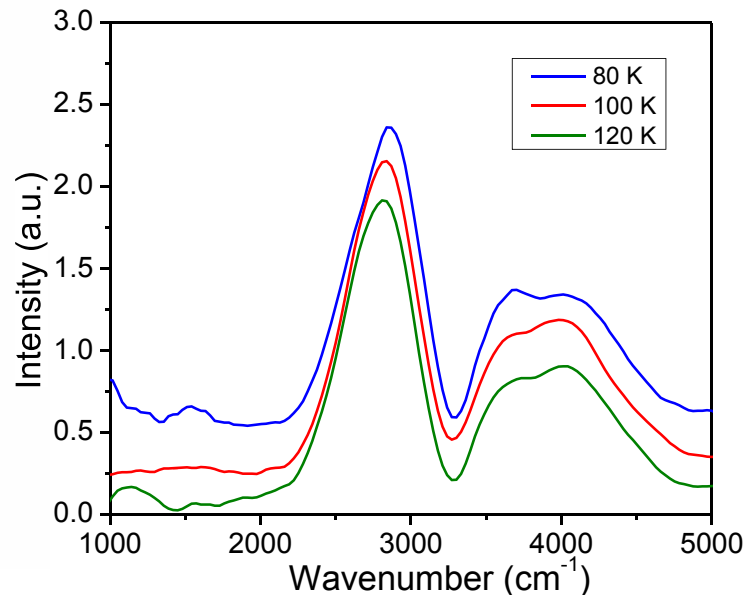
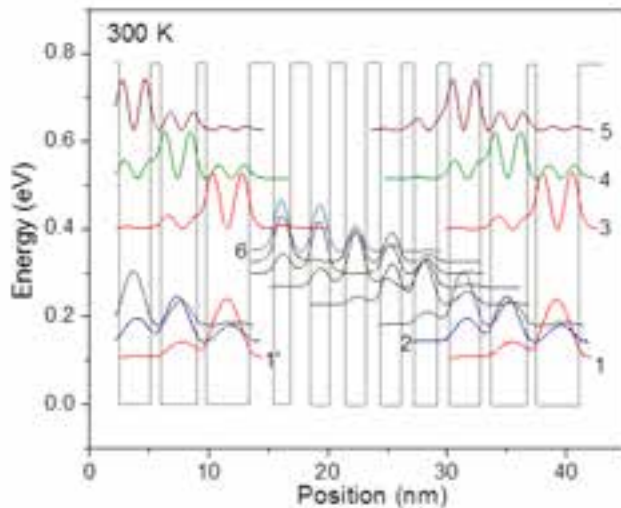
Strongly overlapping modes selected with slightly reduced thresholds and single-mode emission for up to 1.2x pump power at threshold





# Intersubband devices in II-VI materials

Arvind Ravikumar et al, Princeton University  
CCNY Collaboration



ZnCdSe $n_{Cl} = 3 \times 10^{18} \text{ cm}^{-3}$ 4000 Å	} x40
Active Region $L_p = 37.2 \text{ nm}$	
ZnCdSe $n_{Cl} = 3 \times 10^{17} \text{ cm}^{-3}$ 1000 Å	
InGaAs $n_{Si} = 1 \times 10^{17} \text{ cm}^{-3}$ 1500 Å	
InP substrate	

## II-VI QC Detector

- Demonstrated the first II-VI based QC Detector with high detectivity of  $\sim 2.5 \times 10^{10} \text{ cm} \sqrt{\text{Hz/W}}$  at 80 K.
- Extractor doping to reduce back scattering and increase  $R_0 A$  product at room temperature.

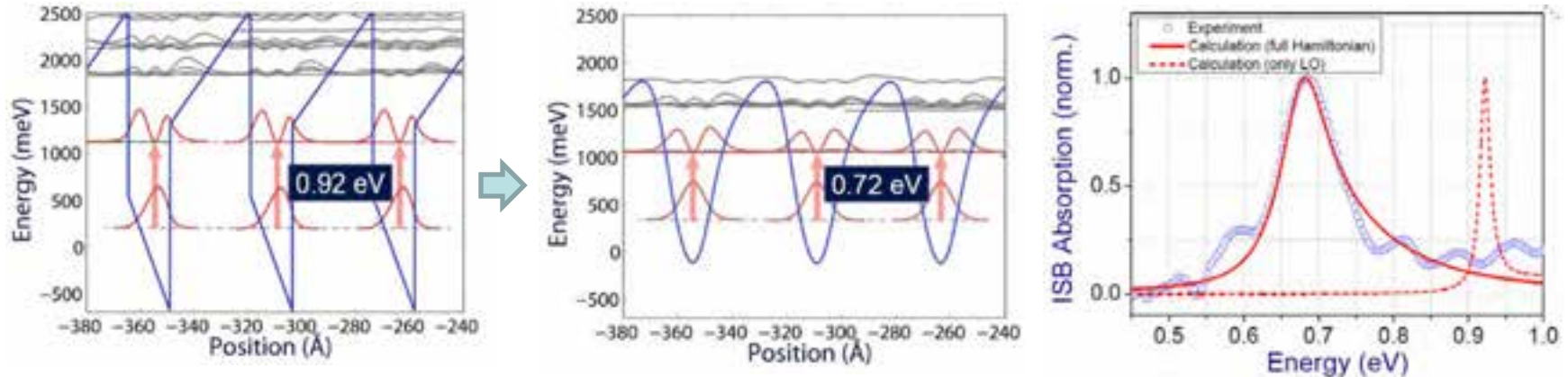


# Intersubband devices in III-Nitrides

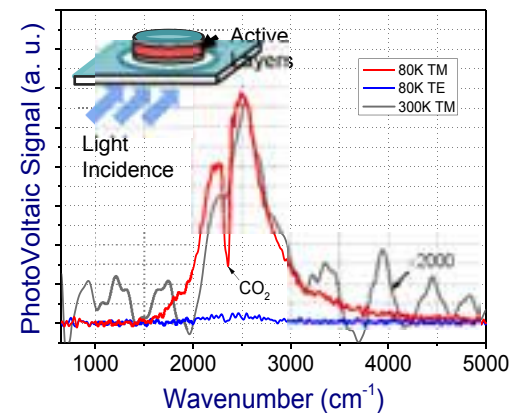
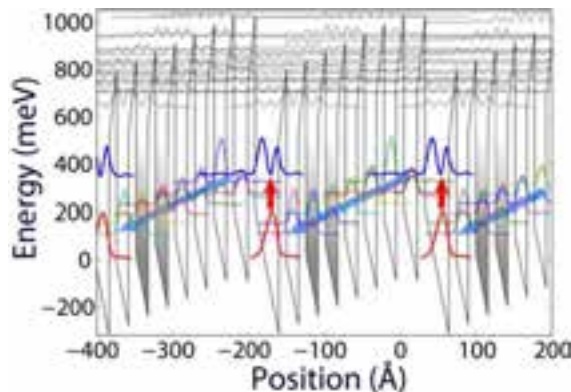
Yu Song et al, Princeton University  
Corning Inc. Collaboration

*III-Nitride Multiple QWs  
grown by MOCVD*

Raj Bhat, et al. Corning Inc.



Full quantitative model including interface roughness → excellent agreement btw. design and intersubband absorption

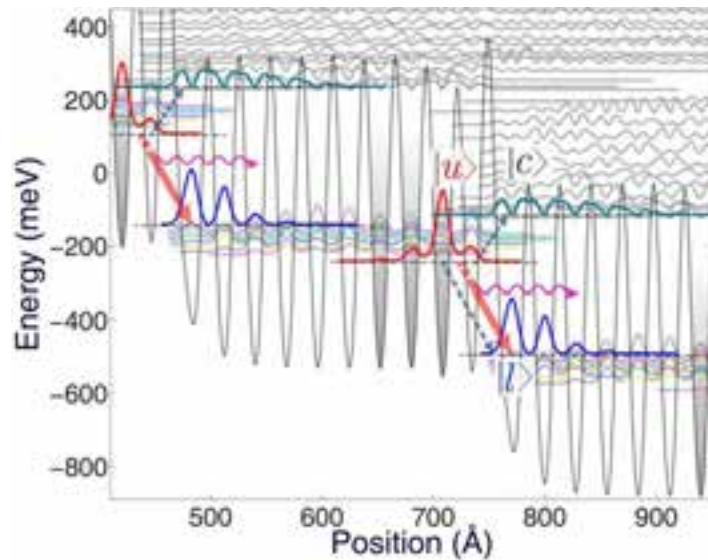
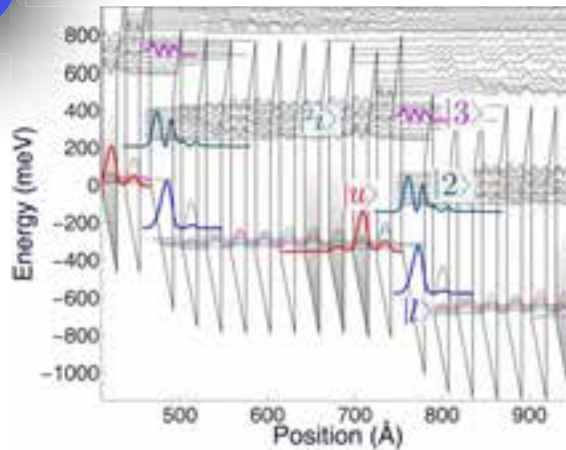


III-Nitrides QC Detector grown by MOCVD; design of emitter structures in III-Nitrides

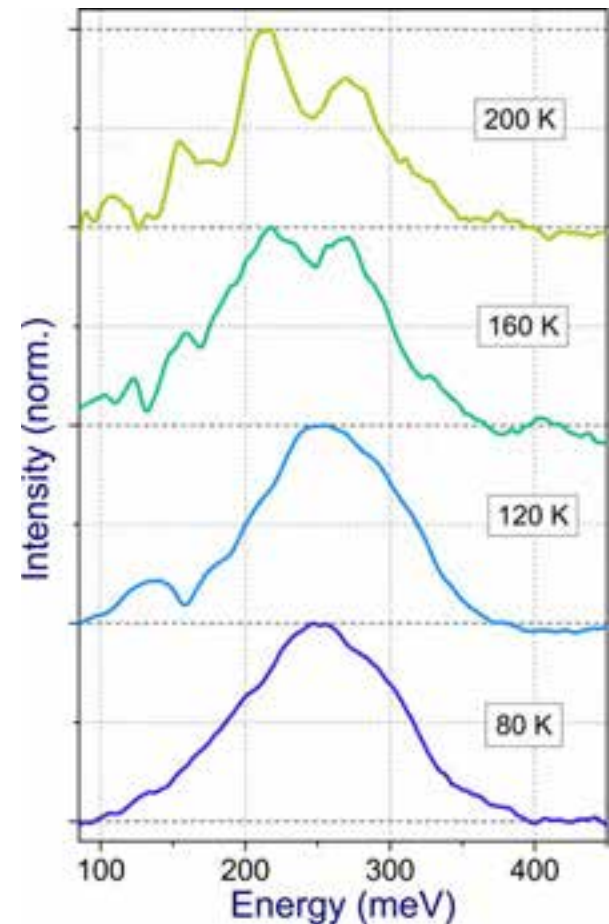




# Intersubband EL emission in III-Nitrides



## Intersubband EL



Full quantitative model including interface roughness  
→ fundamental wavefunction changes



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***Mid-Infrared Technologies for Health and the Environment***

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